



Designation of Managing Principal

Pursuant to T.C.A. § 45-13-125(b), each mortgage lender or mortgage loan broker shall file a form as prescribed by the commissioner indicating the business's designation of **managing principal** and the individual's acceptance of the responsibility.

Name of Company _____

Company License # or Registration # _____

Name and Title of Managing Principal _____

Corporate HQ Address of Company _____
Street

City State Zip
Telephone: _____ Fax: _____

By my signature below, I accept the responsibility as Managing Principal to be in charge of the business operations and activities in Tennessee of the above named Licensee or Registrant

Signature and Title of Managing Principal STATE OF: _____

COUNTY OF: _____

Subscribed and sworn to before me on

this ____ day of _____, _____. (Notary Seal)

Notary Public _____

My Commission Expires _____

Certificate of Resolution:

(Not applicable if Sole Proprietor)

This is to certify that a meeting of the _____ or
Board of Directors if Corporation

_____ of _____ was held on the _____ day
Members if LLC Name of Company
of _____, 20____. The following resolution was duly and legally presented and

adopted by the majority vote, to-wit: _____ is hereby named

and authorized to be the Managing Principal for _____ in
Managing Principal Name of Company

compliance with T.C.A. § 45-13-125.

Signature and Title

Date

Please return completed form(s) to: TN Department of Financial Institutions
Compliance Division: Attn. Registrations
511 Union Street, 4th Floor
Nashville, TN 37219